



CERTIFICATE OF MAILING  
37 C.F.R. 1.8

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March 4, 2005  
Date

Rebecca R. Ginn

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/689,392 Confirmation No. 2874  
Applicant : Robert E. Smith III  
Filed : October 20, 2003  
TC/A.U. : 3676  
Examiner : Alison K. Pickard  
Docket No. : 221-0074US  
Customer No. : 29855

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA, 22313-1450.

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or that such information constitutes prior art.

In accordance with 37 C.F.R. §§ 1.97(e),(1), each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or (2) that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. No fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, the Commissioner is authorized to deduct any necessary fees from Deposit Account No. 501922 referencing attorney docket no. 221-0074US.

Applicants respectfully request that the listed documents be considered and made of record in the present case, and that the Examiner initial the appropriate spaces on the Form 1449 to evidence the same.

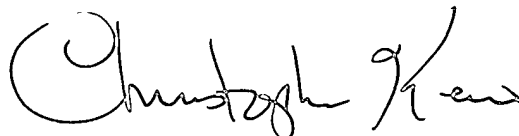
4 MAR 11 2005

Date

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Form PTO-1449 (modified)

Atty. Docket No.

221-0074US

Serial No.

10/689,392

## List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT



(Use several sheets if necessary)

Inventor/Applicant

Robert E. Smith III

Title: Seal Retainer With Pressure Energized  
Metal Seal Members for Undersea  
Hydraulic Coupling

Filing Date:

October 20, 2003

Group:

2154

U.S. Patent Documents

See Page 1

Foreign Patent Documents

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Other Art

See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,203,374	04/20/1993	Smith, III	137	614.04	10/16/1991
	A2	5,284,183	02/08/1994	Smith, III	137	614.04	01/11/1993
	A3	5,762,106	06/09/1998	Smith, III	137	614.04	09/27/1991
	A4						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	GB 2 223 552	04/11/1990	UK	F16L	17/00	Yes
	B2	GB 2 277 357	10/26/1994	UK	F16L	17/02	Yes
	B3	GB 2 358 052	07/11/2001	UK	F16L	17/03	Yes
	B4						
	B5						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	
	C2	
	C3	
	C4	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)